

# From first-order logic to accessible categories

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A formula is called

- (a) *positive-primitive* if it has the form  $(\exists y)\psi(x, y)$  where  $\psi(x, y)$  is a conjunction of atomic formulas,
- (b) *positive-existential* if it is a disjunction of positive-primitive formulas,
- (c) *basic* if it has the form

$$(\forall x)(\varphi(x) \rightarrow \psi(x))$$

where  $\varphi(x)$  and  $\psi(x)$  are positive-existential formulas.

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Any basic theory is closed under directed colimits of its models.

**Definition.** (Makkai, Paré 1989). A category  $\mathcal{K}$  is called  $\lambda$ -*accessible*, where  $\lambda$  is a regular cardinal, provided that

- (1)  $\mathcal{K}$  has  $\lambda$ -directed colimits,
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2) **Met** (complete metric spaces and contractions), **Ban** (Banach spaces and linear contractions), or **Hilb** (Hilbert spaces and linear contractions) are  $\aleph_1$ -accessible.  $\aleph_1$ -presentable objects are separable spaces.

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**Definition.** (Shelah 1987) Let  $\Sigma$  be a signature,  $\mathcal{K}$  a subcategory of the category  $\Sigma$ -structures and embeddings. Then  $\mathcal{K}$  is an *abstract elementary class* if the following conditions are satisfied:

1.  $\mathcal{K}$  is closed in  $\mathbf{Str}(\Sigma)$  under directed colimits,
2. given homomorphisms  $g : A \rightarrow B$  and  $h : B \rightarrow C$  with  $hg, h \in \mathcal{K}$  then  $g \in \mathcal{K}$ , and
3. there is a cardinal  $\lambda$  such that if  $f : A \rightarrow B$  is a submodel embedding with  $B \in \mathcal{K}$  then there is  $h : A' \rightarrow B$  in  $\mathcal{K}$  such that  $f$  factorizes through  $h$  and  $|A'| \leq |A| + \lambda$ .

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A subcategory  $\mathcal{K}$  of a category  $\mathcal{L}$  is

1. *iso-full* if every isomorphism  $f : K \rightarrow K$  in  $\mathcal{L}$  with  $K$  in  $\mathcal{K}$  belongs to  $\mathcal{K}$ ,
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**Theorem 4.** (Beke, Lieberman, JR 2012) A category is equivalent to an abstract elementary class iff it is an accessible category with directed colimits whose morphisms are monomorphisms and which admits an iso-full and coherent embedding into a finitely accessible category preserving directed colimits and monomorphisms.

It is easy to find an abstract elementary class  $\mathcal{K} \subseteq \mathbf{Str}(\Sigma)$  which cannot be axiomatized by an  $L_{\kappa\omega}$  theory in  $\Sigma$ . It is difficult to find an abstract elementary class  $\mathcal{K}$  which is not equivalent to  $\mathbf{Elem}(T)$  for any  $L_{\kappa\omega}$ -theory  $T$ , these categories are called  $(\infty, \omega)$ -*elementary*.

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The size of an infinite complete metric space is its density character, i.e., the smallest cardinality of a dense subset. The same for infinite dimensional Banach spaces. The size of an infinite dimensional Hilbert space is the cardinality of its orthonormal base.

Any infinite-dimensional Banach space has cardinality  $\lambda^{\aleph_0}$  for some infinite cardinal  $\lambda$ . Thus there are no Hilbert spaces in cardinality  $\lambda$  of countable cofinality. But there are Hilbert spaces of any infinite size. Thus in **Hilb** sizes never start to coincide with cardinalities and there are arbitrarily large gaps of cardinalities but not in sizes.

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An accessible category is called *LS-accessible* if this cannot happen.

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This is an ultimate solution of the problem of Bankston (1982) – in 1984 Banaschewski and JR independently showed that this is not possible by any first-order theory whose models are closed under products.

Having an accessible category  $\mathcal{K}$  with directed colimits and all morphisms monomorphisms, the first question is whether it can be embedded to a category  $\mathbf{Emb}(\Sigma)$  of  $\Sigma$ -structures and embeddings for some finitary signature  $\Sigma$  where the embedding preserves directed colimits.

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We can assume that  $\Sigma$  contains only relation symbols. An  $n$ -ary relation symbol  $R$  defines a subfunctor  $R$  of  $U^n : \mathcal{K} \rightarrow \mathbf{Set}$ . This functor  $R$  is faithful and preserves directed colimits.



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This leads to an understanding of (faithful) functors  $\mathcal{K} \rightarrow \mathbf{Set}$  preserving directed colimits.

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If  $\mathbf{Acc}_\omega$  is the category of accessible categories with directed colimits and functors preserving directed colimits and  $\mathbf{GTop}$  the category of Grothendieck toposes and functors preserving colimits and finite limits (geometric morphisms) then  $S : \mathbf{Acc}_\omega \rightarrow \mathbf{GTop}$  is left adjoint to  $P : \mathbf{GTop} \rightarrow \mathbf{Acc}_\omega$ .

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Scott adjunction seems to be a strong tool for studying accessible categories with directed colimits.

Let  $\mathcal{K}$  be an accessible category with directed colimits and  $\lambda$  an infinite cardinal.  $\mathcal{K}$  is  $\lambda$ -categorical if it has, up to isomorphism, precisely one object of size  $\lambda$ .

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Recently, Vasey proved the conjecture for universal abstract elementary classes and, together with Shelah, for general abstract elementary classes assuming the existence of a proper class of strongly compact cardinals. Very recently, C. Espindola used Scott adjunction to transfer the problem to topos theory.